

SHANTAI INDUSTRIES LIMITED

CIN: L74110GJ1988PLC013255

Reg Office: 820, Golden Point, Nr. Telephone Exchange, Begampura, Falsawadi, Ring Road, Surat-395003
Website: www.shantaiindustrieslimited.com, Email-id: shantaiindustriesltd@gmail.com, Tel: 9913425000

Date: 13/01/2024

To

BSE Limited,

Phiroze Jeejeebhoy Towers,

Dalal Street,

Mumbai- 400 001.

Scrip ID/ Code : **SHANTAI/ 512297**

Subject : **SDD Compliance Certificate for the Quarter ended December 31, 2023.**

Ref No. : **Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015.**

Dear Sir/Madam,

Pursuant to Regulation 3(5) and 3(6) of SEBI (Prohibition of Insider Trading) Regulations, 2015, please find enclosed herewith the SDD Compliance Certificate for the quarter ended December 31, 2023.

Kindly take the same in your record

Thanking you,

Yours faithfully,

For Shantai Industries Limited

Komal Sharad Agarwal

Company Secretary & Compliance Officer

Place: Surat

Encl: As Above

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COMPLIANCE CERTIFICATE FOR THE QUARTER ENDED 31st DECEMBER, 2023

(Pursuant to Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015)

I, Komal Sharad Agarwal, Compliance Officer of Shantai Industries Limited am aware of the compliance requirement of Structured Digital Database (SDD) pursuant to provisions of Regulation 3(5) and 3(6) of **Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015** (PIT Regulations) and I certify that

1. the Company has a Structured Digital Database in place
2. control exists as to who can access the SDD
3. all the UPSI disseminated in the previous quarter have been captured in the Database
4. the system has captured nature of UPSI along with date and time
5. the database has been maintained internally and an audit trail is maintained
6. the database is non-tamperable and has the capability to maintain the records for 8 years.

I also confirm that the Company was required to capture 01 (One) number of event during the quarter ended and has captured 01(One) number of the said required events.

I would like to report that the following noncompliance(s) was observed in the previous quarter and the remedial action(s) taken along with timelines in this regard: NIL

For **Shantai Industries Limited**

Komal Sharad Agarwal
Company Secretary & Compliance Officer

Date: 13/01/2024

Place: Surat